

# **AMENDED GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2021

## **STOCK CORPORATION**

### **GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>			<b>DATE REGISTERED:</b>
CHINA BANKING CORPORATION			7/20/1920
<b>BUSINESS/TRADE NAME:</b>			<b>FISCAL YEAR END:</b>
CHINA BANKING CORPORATION			December 31
<b>SEC REGISTRATION NUMBER:</b>			<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b>
443			000-444-210-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>			<b>WEBSITE/URL ADDRESS:</b>
First Thursday of the month of May (or second Thursday of the month of May if first Thursday falls on a holiday)			www.chinabank.ph
<b>ACTUAL DATE OF ANNUAL MEETING:</b>			<b>E-MAIL ADDRESS:</b>
6-May-21			online@chinabank.ph
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>			<b>FAX NUMBER:</b>
China Bank Building 8745 Paseo de Roxas cor. Villar St., Makati City			(632)888-55135
<b>COMPLETE BUSINESS ADDRESS:</b>			
China Bank Building 8745 Paseo de Roxas cor. Villar St., Makati City			
<b>OFFICIAL E-MAIL ADDRESS</b>	<b>ALTERNATE E-MAIL ADDRESS</b>	<b>OFFICIAL MOBILE NUMBER</b>	<b>ALTERNATE MOBILE NUMBER</b>
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b>		<b>SEC ACCREDITATION NUMBER (if applicable):</b>	<b>TELEPHONE NUMBER(S):</b>
SyCip Gorres Velayo & Co./ Ms. Josephine Adrienne A. Abarca		0466-AR-4 (Group A)	(632) 888-55555
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>		<b>INDUSTRY CLASSIFICATION:</b>	<b>GEOGRAPHICAL CODE:</b>
General commercial and/or expanded commercial banking or universal banking		Banking	N/A

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A	N/A	N/A
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
China Bank Savings, Inc.	16962	CBS Building, 314 Sen. Gil J. Puyat Avenue, Makati City
CBC Properties and Computer Center, Inc.	104308	4F and 15F China Bank Building, 8745 Paseo de Roxas corner Villar Street, Makati City
Chinabank Insurance Brokers, Inc.	A1998-13785	VGP Center, 6772 Ayala Avenue, Makati City
China Bank Capital Corporation	CS201522558	28F BDO Equitable Tower, 8751 Paseo de Roxas, Bel-Air, Makati City
CBC Assets One (SPC) Inc.	CS201612520	28F BDO Equitable Tower, 8751 Paseo de Roxas, Bel-Air, Makati City
China Bank Securities Corporation (Formerly ATC Securities, Inc.)	83424	28F BDO Equitable Tower, 8751 Paseo de Roxas, Bel-Air, Makati City
Manulife Chinabank Life Assurance Corporation	A1998-01701	10F NEX Tower, 6786 Ayala Avenue, Makati City
Resurgent Capital (FISTC-AMC), Inc.	2021090024413-04	28F BDO Equitable Tower, 8751 Paseo de Roxas, Bel-Air, Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

<b>GENERAL INFORMATION SHEET</b> <b>STOCK CORPORATION</b> <b>===== PLEASE PRINT LEGIBLY =====</b>			
<b>Corporate Name:</b> CHINA BANKING CORPORATION			
<b>Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)</b> <span style="float: right;"> <input checked="" type="radio"/> YES           <input type="radio"/> NO         </span>			
<b>Please check the appropriate box:</b>			
<b>1.</b> <input checked="" type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input checked="" type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	<b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals		
<b>2.</b> <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	<b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone		
<b>3.</b> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman  <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	<b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:  <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person		
	<b>7.</b> Persons who provide any of the following services:  <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies  <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities		
	<b>8.</b> <input type="checkbox"/> None of the above <div style="display: flex; border-top: 1px solid black; border-left: 1px solid black; border-right: 1px solid black; padding: 5px;"> <div style="width: 20%; border-right: 1px solid black; padding-right: 5px;">Describe nature of business:</div> <div style="padding-left: 5px;">General commercial and/or expanded commercial banking or universal banking</div> </div>		
<b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b> <span style="float: right;"> <input checked="" type="radio"/> YES           <input type="radio"/> NO         </span>			



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		CHINA BANKING CORPORATION					
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>			
	common	3,300,000,000	10	33,000,000,000.00			
<b>TOTAL</b>			<b>TOTAL P</b>	<b>33,000,000,000.00</b>			
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
	1,796	common	1,973,249,466		10.00	19,732,494,660.00	73.32
<b>TOTAL</b>			<b>TOTAL</b>	<b>TOTAL P</b>	<b>19,732,494,660.00 73.32</b>		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
Chinese	48	common	3,485,501	3,485,501	10.00	34,855,010.00	0.13
American	18	common	2,403,317	2,403,317	10.00	24,033,170.00	0.09
Australian	2	common	4,513	4,513	10.00	45,130.00	0.00
British	2	common	97,631	97,631	10.00	976,310.00	0.00
Canadian	2	common	450,163	450,163	10.00	4,501,630.00	0.02
Dutch	1	common	62,198	62,198	10.00	621,980.00	0.00
Spanish	1	common	107	107	10.00	1,070.00	0.00
Taiwanese	3	common	729,341	729,341	10.00	7,293,410.00	0.03
PCD	1	common	710,805,975	710,805,975	10.00	7,108,059,750.00	26.41
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>718,038,746</b>	<b>TOTAL P</b>	<b>7,180,387,460.00</b>	<b>26.68</b>
<b>TOTAL SUBSCRIBED P</b>					<b>26,912,882,120.00 100.00</b>		
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
	1,796	common	1,973,249,466	10	19,732,494,660.00	73.32	
<b>TOTAL</b>			<b>TOTAL P</b>	<b>19,732,494,660.00 73.32</b>			
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
Chinese	48	common	3,485,501	10	34,855,010.00	0.13	
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Taiwanese	3	common	729,341	10	7,293,410.00	0.03	
PCD	1	common	710,805,975	10	7,108,059,750.00	26.41	
<b>TOTAL</b>			<b>718,038,746</b>	<b>TOTAL P</b>	<b>7,180,387,460.00</b>	<b>26.68</b>	
<b>TOTAL PAID-UP P</b>					<b>26,912,882,120.00 100.00</b>		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CHINA BANKING CORPORATION								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. HANS T. SY	Filipino	N	C	M	Y	Chairman	C/M	
2. GILBERT U. DEE	Filipino	N	M	M	Y	Vice Chairman	--	
3. WILLIAM C. WHANG	Filipino	N	M	M	Y	President	--	
4. PETER S. DEE	Filipino	N	M	M	Y	--	--	
5. JOAQUIN T. DEE	Filipino	N	M	M	Y	--	A/M	
6. HERBERT T. SY	Filipino	N	M	M	Y	--	C/M	
7. HARLEY T. SY	Filipino	N	M	M	Y	--	--	
8. JOSE T. SIO	Filipino	N	M	M	Y	--	--	
9. MARGARITA L. SAN JUAN	Filipino	N	I	F	Y	--	N/C	
10. PHILIP S.L. TSAI	Filipino	N	I	M	Y	--	A/M C/M	
11. CLAIRE ANN T. YAP	Filipino	N	I	F	Y	--	A/C N/M	
12. GENARO V. LAPEZ	Filipino	N	I	M	Y	--	C/C N/M	
13. ROMEO D. UYAN, JR.	Filipino	N	--	M	Y	SEVP* & COO	--	
14. PATRICK D. CHENG	Filipino	N	--	M	Y	EVP & CFO	--	
15. CHRISTOPHER MA. CARMELO Y. SALAZAR	Filipino	N	--	M	Y	SVP, Treas. Treasury GrpHead	--	
16. ATTY. LEILANI B. ELARMO	Filipino	N	--	F	Y	Corporate Secretary	--	
17. ATTY. MARYNETTE M. GRAVADOR	Filipino	N	--	F	Y	Assistant Corporate Secretary	--	
18. ATTY. AILEEN PAULETTE S. DE JESUS	Filipino	N	--	F	Y	VP/II, Chief Compliance Officer	--	

INSTRUCTION:  
 FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION ELECTION COMMITTEE. ADDITIONALLY, WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



GENERAL INFORMATION SHEET								
STOCK CORPORATION								
===== PLEASE PRINT LEGIBLY =====								
CORPORATE NAME: CHINA BANKING CORPORATION								
OFFICERS								
NAME AND CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCKHOLDER	OFFICER	EXEC. COMM	TIN
19. Rosemarie C. Gan	Filipino	N	N/A	F	Y	Executive Vice President & Segment Head of Retail Banking Business (RBB)	N/A	
20. Aloysius C. Alday, Jr.	Filipino	N	N/A	M	N	Senior Vice President & Head of Consumer Banking Segment	N/A	
21. Ananias S. Cornelio III	Filipino	N	N/A	M	Y	Senior Vice President & Chief Risk Officer	N/A	
22. Jose L. Osmeña, Jr.	Filipino	N	N/A	M	Y	Senior Vice President & Deputy Group Head of RBB	N/A	
23. Magnolia Luisa N. Palanca	Filipino	N	N/A	F	Y	Senior Vice President & Head of Financial Markets Segment	N/A	
24. Manuel C. Tagaza	Filipino	N	N/A	M	N	Senior Vice President & Head of Digital Banking Group	N/A	
25. Lilian Yu	Filipino	N	N/A	F	Y	Senior Vice President & Head of Institutional Banking Segment	N/A	
26. Cristina P. Arceo	Filipino	N	N/A	F	Y	First Vice President II & Head of Treasury Group's Investment Management Division	N/A	
27. Angela D. Cruz	Filipino	N	N/A	F	Y	First Vice President II & Wealth Management Group Head	N/A	

28. Gerard T. Dee	Filipino	N	N/A	M	Y	First Vice President II & Head of Institutional Banking Segment's Commercial Banking II	N/A	
29. Antonio Jose S. Dominguez	Filipino	N	N/A	M	Y	First Vice President II & Head of Multi-Purpose Loans Division	N/A	
30. Jerry Ron T. Hao	Filipino	N	N/A	M	Y	<b>First Vice President II*</b> & Chief Dealer, Treasury Group	N/A	
31. Delia Marquez	Filipino	N	N/A	F	Y	First Vice President II & Head of Centralized Operations Group	N/A	
32. Stephen Y. Tan	Filipino	N	N/A	M	Y	First Vice President II & Region Head of RBB – Visayas	N/A	
33. Layne Y. Arpon	Filipino	N	N/A	F	Y	First Vice President I & Head of Institutional Banking Segment's Corporate Banking I	N/A	
34. Grace C. Buenavista	Filipino	N	N/A	F	N	First Vice President I & Head of Institutional Banking Segment's Commercial Banking III	N/A	
35. Amelia Caridad C. Castelo	Filipino	N	N/A	F	Y	First Vice President I & Head of Enterprise Business Intelligence Division	N/A	
36. Melissa F. Corpus	Filipino	N	N/A	F	Y	First Vice President I & Head of Credit Management Group	N/A	
37. Francis Andre Z. De Los Santos	Filipino	N	N/A	M	N	First Vice President I & Head of Business Solutions, Office of the Chief Operating Officer	N/A	
38. James Christian T. Dee	Filipino	N	N/A	M	Y	First Vice President I (seconded to China Bank Savings, Inc. as Director and President)	N/A	

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39. Maria Luz B. Favis	Filipino	N	N/A	F	Y	First Vice President I & Head of Asset Quality and Recovery Management Division	N/A	
40. Gerald O. Florentino	Filipino	N	N/A	M	N	First Vice President I & Head of Investor and Corporate Relations Group	N/A	
41. Madelyn V. Fontanilla	Filipino	N	N/A	F	Y	First Vice President I & Head of RBB – Branch Operations Division	N/A	
42. Mary Ann T. Lim	Filipino	N	N/A	F	Y	First Vice President I, Trust Officer & Head of Trust and Asset Management Group	N/A	
43. Mandrake P. Medina	Filipino	N	N/A	M	Y	First Vice President I & Head of RBB - South Luzon Region	N/A	
44. Elizabeth C. Say	Filipino	N	N/A	F	Y	First Vice President I & Head of RBB – Branches Administration	N/A	
45. Clara C. Sy	Filipino	N	N/A	F	Y	First Vice President I & Head of RBB – Metro Manila East Region	N/A	
46. Belenette C. Tan	Filipino	N	N/A	F	Y	First Vice President I & Head of Legal & Collection Group	N/A	
47. Marisol M. Teodoro	Filipino	N	N/A	F	Y	First Vice President I (seconded to China Bank Securities Corp. as Director, President and Chief Executive Officer)	N/A	
48. Maria Rosanna Catherina L. Testa	Filipino	N	N/A	F	Y	First Vice President I & Head of Human Resources Group	N/A	
49. Michelle Y. Yap-Bersales	Filipino	N	N/A	F	Y	First Vice President I** & Head of Core Finance Group	N/A	
<p>FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:</p> <p>"C" for Chairman, "M" for Member, "I" for Independent Director; and/or Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or "S" for Stockholder.</p> <p>*Promotions effective 16 May 2022</p> <p>**Promotion effective 06 May 2022</p>								



GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
<b>CORPORATE NAME:</b> CHINA BANKING CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 1,874			<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 1,816			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> P947,127,734 (in thousand)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
1. PCD Nominee Corporation (Non-Fil) Non-Filipino	common	710,805,975	7,108,059,750.00	26.41%	7,108,059,750.00	
	<b>TOTAL</b>	<b>710,805,975</b>	<b>7,108,059,750.00</b>			
2. PCD Nominee Corporation (Fil) Filipino	common	573,346,849	5,733,468,490.00	21.30%	5,733,468,490.00	
		<b>573,346,849</b>	<b>5,733,468,490.00</b>			
3. SM Investments Corporation Filipino	common	463,922,761	4,639,227,610.00	17.24%	4,639,227,610.00	
	<b>TOTAL</b>	<b>463,922,761</b>	<b>4,639,227,610.00</b>			
4. Sysmart Corporation Filipino	common	415,995,323	4,159,953,230.00	15.46%	4,159,953,230.00	
	<b>TOTAL</b>	<b>415,995,323</b>	<b>4,159,953,230.00</b>			
5. JJACCIS Development Corporation Filipino	common	62,320,926	623,209,260.00	2.32%	623,209,260.00	
		<b>62,320,926</b>	<b>623,209,260.00</b>			
6. CBC Employees Retirement Plan Filipino	common	53,278,951	532,789,510.00	1.98%	532,789,510.00	
	<b>TOTAL</b>	<b>53,278,951</b>	<b>532,789,510.00</b>			
7. Joaquin T. Dee &/or Family Filipino	common	40,787,322	407,873,220.00	1.52%	407,873,220.00	
	<b>TOTAL</b>	<b>40,787,322</b>	<b>407,873,220.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>86.22%</b>	<b>23,204,581,070.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>23,204,581,070.00</b>	

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary. As of the quarter ending 31 March 2022, The Hongkong & Shanghai Banking Corporation Ltd. and BDO Securities Corporation hold more than 5% of the Bank's securities totaling shares 396,732,386 or 14.74%, and 192,578,782 or 7.16%, respectively.

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GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		CHINA BANKING CORPORATION				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,874		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 1,816		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		P947,127,734 (in thousand)				
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. GDSK Development Corporation Filipino	common	31,458,583	314,585,830.00	1.17%	314,585,830.00	
	<b>TOTAL</b>	<b>31,458,583</b>	<b>314,585,830.00</b>			
9. Suntree Holdings Corporation Filipino	common	24,138,332	241,383,320.00	0.90%	241,383,320.00	
	<b>TOTAL</b>	<b>24,138,332</b>	<b>241,383,320.00</b>			
10. Syntrix Holdings, Inc. Filipino	common	21,913,277	219,132,770.00	0.81%	219,132,770.00	
	<b>TOTAL</b>	<b>21,913,277</b>	<b>219,132,770.00</b>			
11. Hydee Management & Resource Corp. Filipino	common	14,334,603	143,346,030.00	0.53%	143,346,030.00	
	<b>TOTAL</b>	<b>14,334,603</b>	<b>143,346,030.00</b>			
12. The First Resources Mgt. & Sec. Corp. Filipino	common	5,964,229	59,642,290.00	0.22%	59,642,290.00	
	<b>TOTAL</b>	<b>5,964,229</b>	<b>59,642,290.00</b>			
13. Kuan Yan Tan's Charity (Phil.), Inc. Filipino	common	5,941,277	59,412,770.00	0.22%	59,412,770.00	
	<b>TOTAL</b>	<b>5,941,277</b>	<b>59,412,770.00</b>			
14. Reliance Commodities, Inc. Filipino	common	5,662,648	56,626,480.00	0.21%	56,626,480.00	
	<b>TOTAL</b>	<b>5,662,648</b>	<b>56,626,480.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>4.07%</b>	<b>1,094,129,490.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>				<b>1,094,129,490.00</b>		
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> CHINA BANKING CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,874		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		1,816
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		P947,127,734 (in thousand)				
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. Robert Y. Dee Jr. Filipino	common	5,569,499	55,694,990.00	0.21%	55,694,990.00	
	<b>TOTAL</b>	<b>5,569,499</b>	<b>55,694,990.00</b>			
16. La Filipina Uy Gongco Corporation Filipino		5,444,600	54,446,000.00	0.20%	54,446,000.00	
	<b>TOTAL</b>	<b>5,444,600</b>	<b>54,446,000.00</b>			
17. Ansaldo Godinez & Co., Inc. Filipino	common	5,037,498	50,374,980.00	0.19%	50,374,980.00	
	<b>TOTAL</b>	<b>5,037,498</b>	<b>50,374,980.00</b>			
18. Michael John G. Dee Filipino	common	3,963,468	39,634,680.00	0.15%	39,634,680.00	
	<b>TOTAL</b>	<b>3,963,468</b>	<b>39,634,680.00</b>			
19. Cheng Siok Tuan Filipino	common	3,864,332	38,643,320.00	0.14%	38,643,320.00	
	<b>TOTAL</b>	<b>3,864,332</b>	<b>38,643,320.00</b>			
20. Rosario Chua Siu Choe Filipino		3,631,816	36,318,160	0.13%	36,318,160.00	
	<b>TOTAL</b>	<b>3,631,816</b>	<b>36,318,160.00</b>			
21. OTHERS (Indicate the number of the remaining stockholders)  1,848	common	233,905,943	2,339,059,430.00	8.69%	2,339,059,430.00	
	<b>TOTAL</b>	<b>233,905,943</b>	<b>2,339,059,430.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				9.71%	<b>2,614,171,560.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: CHINA BANKING CORPORATION			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	Please refer to attached Annex "A"		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A			
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
N/A			
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR		P58,659,768 (in thousand)	
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:		P2,685,900 (in thousand)	
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH 10% (P1.00 per share)	2,685,900 (in thousand)	6/18/2020	
5.2 STOCK			
5.3 PROPERTY			
TOTAL	2,685,900 (in thousand)		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
	N/A		
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	Underwriter of Securities engaged in dealing Government Securities with Broker/Dealer of Securities functions)	Universal banking	N/A
DATE ISSUED:			
DATE STARTED OPERATIONS:	Valid for the year 2021 and subject to yearly renewal		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
P71,917,708.00	AM and up - 1,964 Supervisors - 547	4,784	7,295

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, Atty. Leilani B. Elarmo, Corporate Secretary of China Banking Corporation, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232 ).

Done this MAY 13 2022 May 2022 in Makati City.

  
**ATTY. LEILANI B. ELARMO**  
Corporate Secretary

MAY 13 2022

SUBSCRIBED AND SWORN TO before me in Makati City on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me her Non-Professional Driver's License No. N01-04-266287 valid until 03 August 2024.

  
**ALVIN A. QUINTANILLA**

Notary Public for Makati City

Appt: No. M-281 until 31 December 2021

4/F Philcom Building,

8755 Paseo de Roxas, Makati City

PTR No. 8855315; 01-05-22; Makati City

IBP No. 174902; 01-05-22; Cavite

Roll of Attorney's No. 40925

EXTENDED UNTIL JUNE 30, 2022

**PURSUANT TO BAR MATTER NO. 3795**

DOC. NO. 484  
PAGE NO. 98  
BOOK NO. 93  
SERIES OF 2022.



**China Banking Corporation**  
**Investment of Corporate Funds in Another Corporation**  
**December 31, 2020**

	Amount (in '000)
Stocks	17,279,807
Bonds/Commercial Paper (issued by Private Corporation)	110,500,614
Loans/Credit/Advances	
Government Treasury Bills	
Others	

  
Edgar S. Neri Jr  
Senior Manager