

14 October 2020

PHILIPPINE STOCK EXCHANGE, INC.

6th Floor, PSE Tower
5th Avenue corner 28th Street
Bonifacio Global City, Taguig City

Attention: MS. JANET A. ENCARNACION
Head, Disclosure Department

PHILIPPINE DEALING & EXCHANGE CORP.

29/F, BDO Equitable Tower
8751 Paseo de Roxas, Makati City

Attention: ATTY. MARIE ROSE M. MAGALLEN-LIRIO
Head, Issuer Compliance and Disclosure Department

Gentlemen:

In compliance with your reportorial requirements, we are submitting herewith the Bank's Public Ownership Report as of 30 September 2020.

Thank you.

Very truly yours,


ATTY. CORAZON I. MORANDO
Vice President & Corporate Secretary


Att: a/s

CHINA BANKING CORPORATION

8745 Paseo de Roxas corner Villar Street, Makati City, Philippines
Tel Nos. 888-55555 • Fax No. 8885-135
www.chinabank.ph

CHINA BANKING CORPORATION (CHIB)
Computation of Public Ownership as of 30 September 2020

		Number of Shares		
		A	B	Total
Number of Issued Shares		2,685,899,812	0	2,685,899,812
Less: Number of Treasury Shares (if any)		0	0	0
Number of Issued and Outstanding Shares		2,685,899,812	0	2,685,899,812
Less:				
	% to total I/O Shares	A	B	Total
Directors				
Hans T. Sy				
Direct	0.0523%	✓ 1,405,350	0	1,405,350
Indirect (thru PCD)	0.1050%	✓ 2,821,411	0	2,821,411
Gilbert U. Dee				
Direct	0.4778%	✓ 12,832,906	0	12,832,906
Indirect (thru_____)				
William C. Whang				
Direct	0.0007%	✓ 17,518	0	17,518
Indirect (thru_____)				
Peter S. Dee				
Direct	0.0112%	✓ 301,305	0	301,305
Indirect (thru_____)				
Joaquin T. Dee				
Direct	1.6499%	✓ 44,315,883	0	44,315,883
Indirect (thru related entities)	0.2744%	✓ 7,371,029	0	7,371,029
Herbert T. Sy				
Direct	0.0215%	✓ 578,730	0	578,730
Indirect (thru_____)			0	0
Harley T. Sy				
Direct	0.0276%	✓ 740,553	0	740,553
Indirect (thru_____)				
Alberto S. Yao				
Direct				
Indirect (thru_____)	0.0204%	✓ 548,876	0	548,876
Jose T. Sio				
Direct	0.0001%	✓ 3,517	0	3,517
Indirect (thru_____)				
Margarita L. San Juan				
Direct	0.0035%	✓ 95,238	0	95,238
Indirect (thru_____)				
Philip S.L. Tsai				
Direct	0.0001%	✓ 2,000	0	2,000
Indirect (thru_____)				
Sub-total	2.6447%	71,034,316	0	71,034,316
Officers				
Rosemarie C. Gan				
Direct	0.0048%	✓ 130,032	0	130,032
Indirect (thru_____)				
Patrick D. Cheng				
Direct	0.0230%	✓ 617,756	0	617,756
Indirect (thru_____)				
Alexander C. Escucha				
Direct	0.0031%	✓ 83,886	0	83,886
Indirect (thru_____)				

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Gerard T. Dee				
Direct	0.0103%	✓ 277,864	0	277,864
Indirect (thru_____)				
Angela D. Cruz				
Direct	0.0611%	✓ 1,639,876	0	1,639,876
Indirect (thru_____)				
Delia Marquez				
Direct	0.0009%	✓ 23,560	0	23,560
Indirect (thru_____)				
Lilibeth R. Cariño				
Direct	0.0002%	✓ 4,167	0	4,167
Indirect (thru_____)				
Renato K. De Borja, Jr.				
Direct	0.0008%	✓ 20,169	0	20,169
Indirect (thru_____)				
Shirley G.K.T. Tan				
Direct	0.0005%	✓ 12,863	0	12,863
Indirect (thru_____)				
Elizabeth C. Say				
Direct	0.0001%	✓ 3,433	0	3,433
Indirect (thru_____)				
Benedict L. Chan				
Direct	0.0006%	✓ 15,678	0	15,678
Indirect (thru_____)				
Maria Rosanna Catherina L. Testa				
Direct	0.0002%	✓ 6,340	0	6,340
Indirect (thru_____)				
Stephen Y. Tan				
Direct	0.0001%	2,746	0	2,746
Indirect (thru_____)				
Marisol M. Teodoro				
Direct	0.0008%	✓ 21,323	0	21,323
Indirect (thru_____)				
Layne Y. Arpon				
Direct	0.0004%	✓ 10,732	0	10,732
Indirect (thru_____)				
Belenette C. Tan				
Direct	0.0002%	✓ 5,008	0	5,008
Indirect (thru_____)				
Manuel M. Te				
Direct	0.0001%	✓ 3,199	0	3,199
Indirect (thru_____)				
Clara C. Sy				
Direct	0.1107%	✓ 2,973,304	0	2,973,304
Indirect (thru_____)				
Sub-total	0.2179%	5,851,936	0	5,851,936
Principal/Substantial Stockholders				
SM Investments Corporation				
Direct	22.5510%	✓ 605,698,271	0	605,698,271
Sysmart Corporation				
Direct	15.4881%	✓ 415,995,323	0	415,995,323
Sub-total	38.0392%	1,021,693,594	0	1,021,693,594
Affiliate	0.0000%	0	0	0

Others				
<i>Government</i>	0.0000%	0	0	0
<i>Banks</i>	0.0000%	0	0	0
<i>Employees</i>				
CBC Employees Retirement Plan				
Direct	1.9837%	53,278,951	0	53,278,951
<i>Lock-Up Shares</i>	0.0000%	0	0	0
Sub-total	1.9837%	53,278,951	0	53,278,951
TOTAL	42.8854%	1,151,858,797	-	1,151,858,797
Total Number of Shares Owned by the Public				1,534,041,015



PUBLIC OWNERSHIP PERCENTAGE
Total Number of Shares Owned by the Public

1,534,041,015 shares = 57.115%
2,685,899,812 shares

Number of Issued and Outstanding Shares	=	2,685,899,812
Number of Outstanding Shares	=	2,685,899,812
Number of Treasury Shares	=	0
Number of Listed Shares	=	2,685,899,812
Number of Foreign-Owned Shares	=	717,655,516
Foreign Ownership Level (%)	=	26.72%
Foreign Ownership Limit (%)	=	40%

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