



CUSTOMER INFORMATION FILE

Individual

Branch/Unit		Date	CIF No. (For Bank's Use Only)	
Name (Last Name, First Name, Middle Name)			Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	
Date of Birth (mm/dd/yyyy)	Place of Birth (City, Country) <div><input type="checkbox"/> Philippines <input type="checkbox"/> _____</div>		Nationality <input type="checkbox"/> Filipino <input type="checkbox"/> _____	
Civil Status <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Separated <input type="checkbox"/> Divorced <input type="checkbox"/> Widowed		Mother's Maiden Name (First Name, Middle Name, Last Name)		
Name of Spouse (if applicable): _____				
Home / Permanent Address (Unit / Floor No., Building Name, Building/House No., Street, Subdivision/Village, Barangay, City/Municipality, Province)				ZIP Code
Present Address (Unit / Floor No., Building Name, Building/House No., Street, Subdivision/Village, Barangay, City/Municipality, Province) <input type="checkbox"/> Same as Home / Permanent Address				ZIP Code
Mobile Phone Number	Home Phone Number	E-mail Address	<input type="checkbox"/> TIN / <input type="checkbox"/> SSS No. / <input type="checkbox"/> GSIS No.	
Source(s) of Funds (Kindly select all applicable Source/s of Funds) <div><input type="checkbox"/> Business   <input type="checkbox"/> Commission   <input type="checkbox"/> Dividends   <input type="checkbox"/> Funds from Family Member   <input type="checkbox"/> Gifts and Donations   <input type="checkbox"/> Government Assistance   <input type="checkbox"/> Inheritance   <input type="checkbox"/> Interest <input type="checkbox"/> Investments   <input type="checkbox"/> Pension   <input type="checkbox"/> Profession   <input type="checkbox"/> Remittance   <input type="checkbox"/> Rent   <input type="checkbox"/> Salary   <input type="checkbox"/> Sale of Property   <input type="checkbox"/> Savings   <input type="checkbox"/> Winnings / Rewards</div>				
Employment Type <div><input type="checkbox"/> Employed   <input type="checkbox"/> Retired   <input type="checkbox"/> Not Applicable   <input type="checkbox"/> _____ <input type="checkbox"/> Self-Employed (Business)   <input type="checkbox"/> Self-Employed (Professional)</div>		Estimated Monthly Amount of Transaction/s (Credit) <div><input type="checkbox"/> Below PHP 20,000   <input type="checkbox"/> PHP 20,000 - 49,999   <input type="checkbox"/> PHP 50,000 - 99,999 <input type="checkbox"/> PHP 100,000 - 499,999   <input type="checkbox"/> PHP 500,000 - 999,000   <input type="checkbox"/> PHP 1,000,000 and above</div>		
Nature of Business / Employment (Others, kindly specify in the blank) <div><input type="checkbox"/> Admin/Support   <input type="checkbox"/> Construction   <input type="checkbox"/> Financial/Insurance   <input type="checkbox"/> Manufacturing   <input type="checkbox"/> Professional Service   <input type="checkbox"/> Wholesale/Retail <input type="checkbox"/> Agriculture/Fishing   <input type="checkbox"/> Education   <input type="checkbox"/> IT/Communication   <input type="checkbox"/> Mining/Quarrying   <input type="checkbox"/> Transportation/Storage   <input type="checkbox"/> _____</div>				
Employer/Business Name	Occupation / Designation / Position / Title (Others, kindly specify in the blank) <div><input type="checkbox"/> Accountant   <input type="checkbox"/> Custom Broker   <input type="checkbox"/> Expatriate/Consular or Ambassadorial Staff <input type="checkbox"/> Jeweler   <input type="checkbox"/> Lawyer   <input type="checkbox"/> Money Changer   <input type="checkbox"/> Student   <input type="checkbox"/> _____</div>		Work/Business Phone Number	
Work / Business Address (Unit / Floor No., Building Name, Building/House No., Street, Subdivision/Village, Barangay, City/Municipality, Province)				ZIP Code

Residency (FCBS Non-Resident Indicator: **Resident** = NO ; **Non-Resident** = YES)

☐ **Resident** (Filipino / Alien / Special Resident Retiree's Visa)   ACR I-Card No. (if applicable) \_\_\_\_\_

☐ **Non-Resident** (Alien / Filipino Immigrant / OFWs with contract to work abroad for more than a year / Sea-based OFWs with immigrant or working visa)

Affiliations with China Bank / its Employees / The Philippine Government / International Organization

☐ YES   ☐ NO

I'm a Director / Stockholder / Employee (Employee No.: \_\_\_\_\_ )

☐ YES   ☐ NO

My relative is a Director / Stockholder / Employee (Name/Unit \_\_\_\_\_ Relationship \_\_\_\_\_ )

☐ YES   ☐ NO

I'm / I'm related or associated to a person currently/formerly holding a position in any branch of the Philippine or foreign government or an international organization.  
Relationship with the government personnel: ☐ Occupying   ☐ Relative   ☐ Associate (Position and Dept. of the Gov't Personnel \_\_\_\_\_ )

Preferred Mailing Address

☐ Home / Permanent Address   ☐ Present Address   ☐ Work / Business Address

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) INFORMATION

BNK-013

1. Are you a US Citizen/Green Card Holder or do you meet the Substantial Presence Test?

If **YES**, kindly accomplish FATCA Customer's Information for U.S. Persons form.

☐ YES   ☐ NO

2. If No to Item No. 1. Do you have any of the following records in the US?

☐ YES   ☐ NO

Born in the U.S.

U.S. Residence

Standing Instruction to transfer funds to accounts maintained in the U.S.

Do you have a Power of Attorney or signatory authority granted to a person with a U.S. Address; or "in-care-of" or "hold mail" address?

Mailing Address (including a U.S. Post Office Box)

U.S. Telephone Number

If **YES**, kindly submit: U.S. IRS Form W-8BEN\*\* **AND** valid non-U.S. passport or any similar documentation establishing non-U.S. citizenship (e.g., Driver's License, Tax Certificate issued by the Government) **\*\*Kindly ask the Bank for a copy of U.S. IRS Form W-8BEN (Certificate of Status of Beneficial Owner for United States Tax Withholding and Reporting - Individuals)**

**Additional Requirement if Born in the U.S.:** Copy of Individual's Certificate of Loss of Nationality of the U.S. **OR** Written explanation of your renunciation of U.S. Citizenship or reason you did not obtain U.S. citizenship at birth

CERTIFICATION

I hereby certify and attest that all information provided herein and the Supporting Documents submitted are TRUE, CORRECT, ACCURATE, COMPLETE and UPDATED.

In the FATCA Information section, if I answered "NO" to all items, I hereby certify that I am not a U.S. Person and WITHOUT U.S. Indicia. If I answered "NO" to item 1 and "YES" to item 2, I hereby certify that I am not a U.S. Person but WITH U.S. Indicia. I undertake to submit the required documents to support my Non-US Person status (with or without US Indicia). In the event that the Bank discovers that I am a U.S. Person, I hereby waive my rights of confidentiality under applicable laws and the Bank and its authorized representative(s) are hereby absolutely and unconditionally authorized to report and disclose to the U.S. IRS or Philippine Regulatory Agencies (e.g., Bureau of Internal Revenue, Bangko Sentral ng Pilipinas, and the like) and/or any third party authorized to receive FATCA information, any and all information regarding my account that shall be required. In the event of any change in my circumstance which may affect my status, I undertake and warrant that I will inform the Bank in writing of such change within thirty (30) calendar days from occurrence of such change.

I understand that providing any false or invalid statement(s), information or document(s) to China Banking Corporation may be a ground for disapproval or immediate closure by the Bank of my account(s) or investment(s). I have been properly informed of the Terms and Conditions governing my account(s) or investment(s) as set forth by the Bank, including the current fees and charges being imposed, and I have read, understood, agreed to and accepted them. Relative to the establishment and operation of my account(s) or investment(s), I further agree to have my account(s) or investment(s) with the Bank governed by the rules and regulations of BSP, AMLC, SEC, PDIC, PSE, other government regulatory agencies and central monitoring bodies or entities, and applicable laws relative to the establishment and operation of my account(s) or investment(s). I undertake to advise the Bank in writing of any change in the information I have provided.

I hereby consent and/or was authorized to give consent to the collection, retention, processing, disclosure (as provided under applicable confidentiality and data privacy laws of the Philippines including all subsequent amendments or supplements thereto) of any personal, sensitive personal and privileged information relating to me, the Partnership/Corporation/Juridical Entity and its officers, directors and stockholders, whether provided by me or coming to CBC's possession, and sharing of the said personal, sensitive personal and privileged information to CBC and its offices, branches, subsidiaries and affiliates (*China Bank Insurance Brokers, Inc., China Bank Securities Corporation, China Bank Capital Corporation, China Bank Savings, Inc., China Bank Properties and Computer Center, Inc., and Manulife-China Bank Life Assurance Corporation, among others*), accredited third parties/vendors, or other persons or entities that we may reasonably select, personal information processors, credit reporting or credit reference agencies, credit protection provider, guarantee institutions, debt collection agencies, government agencies and private regulatory organizations and other financial institutions, and other outsourced service providers engaged by CBC as allowed by law and internal Bank policies, for purposes reasonably required by CBC such as, but not limited to, its conduct of everyday business (*processing my transactions, maintenance of my account(s)*), to enable you to avail of the Bank's products, provide our services to you, performance of daily technological and operational functions, communications technology services including updates and automation of the systems of CBC group and its affiliates, compliance with the law and regulatory organizations, research and statistics including conduct of surveys, marketing and cross-selling of products and services of CBC, its subsidiaries and affiliates, client relationship management, sales lead generation, running credit and negative information checks, statistical and risk analysis, data analytics and client profiling and all other purposes as allowed in the banking industry practice, businesses of CBC's subsidiaries and affiliates, and by law. I shall notify CBC in writing, which must be acknowledged by CBC, if I do not consent to the processing and disclosure of said information with CBC's representative offices, subsidiaries, affiliates, agents and accredited third parties/vendors or other persons or entities that CBC may reasonably select. I further acknowledge my right to information, access, correction, rectification, erasure of my personal, sensitive personal and privileged information, data portability, objection to processing, file complaint and damages under the Data Privacy Act.

I agree that CBC may retain my personal and account information for as long as necessary for the fulfillment of the purpose for which it was collected and such other purposes that I may have consented to from time to time, as required by pertinent laws and regulations, and provide information when required to do so in accordance with RA 1405, RA 6426, RA 8791, RA 9510, RA 9160, RA 10173, as amended, and other similar and applicable laws, by court order, and jurisprudence.

The Bank may outsource some of its processing activities and services to third party service providers and in doing so, your personal information may be shared with them. In such cases, the Bank conducts its due diligence in the selection of the third-party service providers, executes Data Sharing and Service Level Agreements, implements risk mitigation process, and periodically evaluates their performance.

I also confirm having read, understood and sought clarifications (if any), of which my queries have been satisfactorily responded to, on the bank's Data Privacy Policy, which is available in the bank's website at <https://www.chinabank.ph/china-bank-privacy-policy>.

I was advised that should I have any questions, complaints or concerns, I may reach the Bank's Data Protection Officer through [cbc.privacy@chinabank.ph](mailto:cbc.privacy@chinabank.ph)

I agree that CBC shall not be liable for any loss or damage arising from CBC's disclosure of personal and account information for the above purposes.

I shall indemnify and hereby hold the Bank, its directors, stockholders, officers, employees, representatives, agents or other units of the Bank, including its subsidiaries and affiliates, free and harmless from and against any and all liabilities, actions, damages, proceedings, losses and/or any and all claims of whatever nature which may be suffered or incurred by the Bank as a result of or in any way arising from or connected with any false, inaccurate, incomplete or invalid information/documents provided to the Bank.

SIGNATURE OVER PRINTED NAME

FOR BANK'S USE ONLY

Industry Sub-Class

Referred By (RM ID)

Interviewed By/Date

Sig. Verified By/Date

Approved By/Date

Scanned By/Date (EDC/IS)

BNK-013 (12-23) TMP