

AMENDED GENERAL INFORMATION SHEET (GIS) FOR THE YEAR 2023 STOCK CORPORATION			
GENERAL INSTRUCTIONS: 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING. 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED. 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION. 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE. 5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED. 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS			
===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <div style="text-align: center;">CHIINABANK INSURANCE BROKERS INC.</div>			DATE REGISTERED: <div style="text-align: center;">11/3/1998</div>
BUSINESS/TRADE NAME: <div style="text-align: center;">CHIINABANK INSURANCE BROKERS INC.</div>			FISCAL YEAR END: <div style="text-align: center;">DECEMBER 31</div>
SEC REGISTRATION NUMBER: <div style="text-align: center;">A1998-13785</div>			CORPORATE TAX IDENTIFICATION NUMBER (TIN): <div style="text-align: center;">201-484-107-000</div>
DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">ANY DAY IN MARCH</div>			WEBSITE/URL ADDRESS: <div style="text-align: center;">14-Mar-23</div>
COMPLETE PRINCIPAL OFFICE ADDRESS: <div style="text-align: center;">VGP CENTER 6772 AYALA AVENUE, BRGY. SAN LORENZO, MAKATI CITY 1226</div>			E-MAIL ADDRESS: <div style="text-align: center;">insurance-secretary@chinabank.ph</div>
COMPLETE BUSINESS ADDRESS: <div style="text-align: center;">8/F VGP CENTER 6772 AYALA AVENUE, BRGY. SAN LORENZO, MAKATI CITY 1226</div>			FAX NUMBER: <div style="text-align: center;">88945651</div>
OFFICIAL E-MAIL ADDRESS insurance-secretary@chinabank.ph	ALTERNATE E-MAIL ADDRESS mvadagucon@chinabank.ph	OFFICIAL MOBILE NUMBER <div style="text-align: center;">0935-515-7352</div>	ALTERNATE MOBILE NUMBER <div style="text-align: center;">0923-081-7036</div>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <div style="text-align: center;">SYCIP GORRES VELAYO & CO.</div>		SEC ACCREDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S): <div style="text-align: center;">8885-5760; 8885-5765</div>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <div style="text-align: center;">see attached page</div>		INDUSTRY CLASSIFICATION: <div style="text-align: center;">Insurance</div>	GEOGRAPHICAL CODE:
===== INTERCOMPANY AFFILIATIONS =====			
PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS	
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

GENERAL INFORMATION SHEET STOCK CORPORATION <div style="border-top: 1px solid black; border-bottom: 1px solid black; text-align: center; padding: 2px;"> ===== PLEASE PRINT LEGIBLY ===== </div>		
Corporate Name:		
A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) <input checked="" type="radio"/> Yes <input type="radio"/> No 		
Please check the appropriate box:		
1. <input type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshops <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> 4. Jewelry dealers in precious metals, who, as a business, trade in precious metals </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> 5. Jewelry dealers in precious stones, who, as a business, trade in precious stone </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 6. Company service providers which, as a business, provide any of the following services to third parties: </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> 7. Persons who provide any of the following services: </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <input type="checkbox"/> 8. None of the above </div> <div style="border: 1px solid black; padding: 5px;"> <div style="display: flex; border-bottom: 1px solid black;"> <div style="width: 30%; border-right: 1px solid black; padding: 2px;">Describe nature of business:</div> <div style="padding: 2px;"></div> </div> </div>	
2. <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input checked="" type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	3. <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services <input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on <input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	
B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS? <input checked="" type="radio"/> Yes <input type="radio"/> No 		

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:		CHINABANK INSURANCE BROKERS INC.					
CAPITAL STRUCTURE							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)			
	common	5,000,000	100.00	500,000,000.00			
TOTAL		5,000,000	TOTAL P				
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	5	common	1,421,300		100.00	142,130,000.00	
TOTAL			1,421,300	TOTAL	TOTAL P	142,130,000.00	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
n/a		n/a					
Percentage of Foreign Equity :				TOTAL	TOTAL	TOTAL P	
TOTAL SUBSCRIBED						P	142,130,000.00
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	5	common	1,421,300		142,130,000.00		
TOTAL			1,421,300	TOTAL P	142,130,000.00		
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
0.00 %				TOTAL	TOTAL P		
				TOTAL PAID-UP P			

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CHINABANK INSURANCE BROKERS INC.								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. PATRICK D. CHENG 827 Mabini St., Bryg. Addition Hills, Mandaluyong City	Filipino	N	C	M	Y	Chairman		107-170-683
2. FRANKIE G. PANIS 2602 A. Lamayan Street, Sta. Ana, Manila	Filipino	N	M	M	Y	President		163-080-258
3. PHILIP S.L. TSAI 157 Oscar Arellano St., San Juan City, Metro Manila	Filipino	N	I	M	Y	N/A		125-401-992
4. MARGARITA L. SAN JUAN 15 First Street, Ignatius Village, Quezon City	Filipino	N	I	F	Y	N/A		125-401-674
5. MARIA PRIMITIVA CARMERLA D. CANCERAN #59B H. Unrubia Street, Brgy. Marilao, Project 4, Quezon City	Filipino	N		F	N	Treasurer		100-342-912
6. BELENETTE C. TAN 130 E. 9th Street, New Manila, Quezon City	Filipino	N		F	N	Corporate Secretary		153-962-566
7. ---NOTHING FOLLOWS---								
8.								
9.								
10.								
11.								
12.								
13.								
14.								
15.								
INSTRUCTION: FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME:		CHINABANK INSURANCE BROKERS INC.				
TOTAL NUMBER OF STOCKHOLDERS:		5		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARE ONE (1)		
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:				P568,736,845 (Year 2021 AFS)		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. CHINABANK CORPORATION Filipino 8745 Paseo de Roxas, Makati City	C	1,421,295	142,129,500.00		142,129,500.00	000-444-210
	TOTAL	1,421,295	142,129,500.00			
2. PATRICK D. CHENG Filipino 827 Mabini St., Brgy. Addition Hills, Mandaluyong City	C	1	100.00		100.00	107-170-683
	TOTAL		100.00			
3. FRANKIE G. PANIS Filipino 2602 A. Lamayan Street, Sta. Ana, Manila	C	1	100.00		100.00	163-080-258
	TOTAL	1	100.00			
4. JOSE L. OSMEÑA Filipino 3rd Floor China Bank Building, 8745 Paseo de Roxas, Makati City	C	1	100.00		100.00	169-658-074
	TOTAL	1	100.00			
5. PHILIP S.L. TSAI Filipino 157 Oscar Arellano St., San Juan City, Metro Manila	C	1	100.00		100.00	125-401-992
	TOTAL	1	100.00			
6. MARGARITA L. SAN JUAN Filipino 15 First Street, Ignatius Village, Quezon City	C	1	100.00		100.00	125-401-674
	TOTAL	1	100.00			
7. ---- NOTHING FOLLOWS ----						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	142,130,000.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: CHINABANK INSURANCE BROKERS INC.						
TOTAL NUMBER OF STOCKHOLDERS:		5		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8.						
	TOTAL					
9.						
	TOTAL					
10.						
	TOTAL					
11.						
	TOTAL					
12.						
	TOTAL					
13.						
	TOTAL					
14.						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:						
TOTAL NUMBER OF STOCKHOLDERS:				<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</small>		
TOTAL ASSETS BASED ON LATEST AUDITED FS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
15.						
	TOTAL					
16.						
	TOTAL					
17.						
	TOTAL					
18.						
	TOTAL					
19.						
	TOTAL					
20.						
	TOTAL					
21. OTHERS (Indicate the number of the remaining stockholders)						
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL				0.00%	0.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME:			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	60,000,000.00	02/21/2023	
5.2 STOCK			
5.3 PROPERTY			
TOTAL	P		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	41	80	121

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, **BELENETTE C. TAN**, Corporate Secretary of CHINABANK INSURANCE BROKERS INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this APR 17 2023 day of APRIL, 20 2023 in CITY OF MAKATI.

BELENETTE C. TAN

(Signature over printed name)

CITY OF MAKATI

APR 17 2023

SUBSCRIBED AND SWORN TO before me in _____ on _____ by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of SSS No. 3316808249 issued at _____ on _____.

ALVIN A. QUINTANILLA

Notary Public for Makati City

Appt. No. M-216 until December 31, 2023

4/F Philcom Building,

8755 Paseo de Roxas, Makati City

NOTARY PUBLIC

PTR No. 9570173; 01-06-2023; Makati City

IBP No. 293227; 01-10-2023; Cavite

MCLE Compliance No. VII-0012 03.28.2023

Roll of Attorney's No. 40925

DOC. NO. 10
PAGE NO. 3
BOOK NO. 105
SERIES OF 20 23

BENEFICIAL OWNERSHIP DECLARATION

FOR THE YEAR: 2023

SEC REGISTRATION NUMBER:

A1998-13785

CORPORATE NAME:

CHINABANK INSURANCE BROKERS, INC.

Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP ¹ / % OF VOTING RIGHTS ²	TYPE OF BENEFICIAL OWNER ³ Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
ROMEO D. UYAN, JR. (China Banking Corporation)	Unit 7B Paseo Parkview Condominium, 142 Valero Street corner Sedeño Street, Salcedo Village, Makati City	Filipino	11/2/1962	106-970-628	100%	D	I (President - China Banking Corporation)

Note: This page is not for uploading on the SEC iView.

¹ For Stock Corporations.

² For Non-Stock Corporations.

³ For Stock Corporations.

PRIMARY PURPOSE

TO ACT AS A BROKER IN SOLICITING, PROCURING, NEGOTIATING, RECEIVING, MANAGING AND FORWARDING APPLICATIONS FOR FIRE, CASUALTY, PLATE GLASS, AUTOMOBILES, TRUCKS AND OTHER MOTOR VEHICLES ACCIDENT, HEALTH, BURGLARY, RENT, MARINE, CREDIT, DISABILITY, LIFE INSURANCE, AND ALL OTHER KINDS OF INSURANCE, INCLUDING REINSURANCE CONTRACTS OR IN ANY OTHER MANNER AIDING IN TAKING OUT INSURANCE, COLLECTING PAYMENTS OF PREMIUMS DUE ON SUCH POLICIES, AND DOING SUCH OTHER BUSINESS AS MAY BE DELEGAED TO BROKER OR SUCH COMPANIES IN THE CONDUCT OF A GENERAL INSURANCE



SECURITIES AND EXCHANGE COMMISSION

SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Barangay Bel-Air, Makati City, 1209, Metro Manila Philippines
Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



The following document has been received:

Receiving: Salvador Baculanta

Receipt Date and Time: April 18, 2023 05:41:44 PM

Company Information

SEC Registration No.: A199813785

Company Name: CHINABANK INSURANCE BROKERS, INC.

Industry Classification: J68200

Company Type: Stock Corporation

Document Information

Document ID: OST10418202381022962

Document Type: General Information Sheet

Document Code: GIS

Period Covered: March 14, 2023

Submission Type: Amendment

Remarks: None

Acceptance of this document is subject to review of forms and contents